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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

PYZOCHA, MICHAEL J

ART UNIT PAPER NUMBER

2137

DATE MAILED: 10/24/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/732,333

Applicant(s)

PIRKEY ET AL.

Examiner

Michael Pyzocha

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 August 2005.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,4-6,9,11-13,16,17,19-21,24,26-28 and 31-42 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-6,9,11-13,16,17,19-21,24,26-28 and 31-42 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

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**DETAILED ACTION**

1. Claims 1,2,4-6,9,11-13,16,17,19-21,24,26-28 and 31-42 are pending.
2. Amendment filed 08/18/2005 with a request for continued examination has been received and considered.

***Claim Rejections - 35 USC § 112***

3. The rejection under the first paragraph of 35 U.S.C. 112 has been withdrawn based on the filed amendments.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 1-2, 6, 9, 13, 16-17, 21, 24, 28, 31, 34, 37, and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rosenthal et al (US 5737701) and further in view of Rowell et al (WO 9704602).

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Referring to claims 1 and 16, Rosenthal et al. discloses a method and apparatus for providing access to resources with the use of personal information numbers comprising the steps of receiving a request from a subscriber to access a resource, providing access to the resource if the resource is included in a list associated with the subscriber or in an always allow list associated with a plurality of subscribers; requiring the subscriber to input a personal identification number if the resource is not included in the list associated with the subscriber and providing access to the resource if the subscriber inputs the correct personal identification number in Column 5, lines 35-67, Column 6, lines 1-38, 63-67, Column 7, lines 1-9.

Rosenthal et al fails to disclose the always allow list being system-wide.

However, Rowell et al teaches the use of a system-wide always allow list on page 3 lines 3-11.

At the time of the invention it would have been obvious to a person of ordinary skill in the art for Rosenthal et al's always allow list to be system wide.

Motivation to do so would have been to include emergency numbers on all lists (see page 3 lines 3-11).

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As per claims 2 and 17, the modified Rosenthal et al. and Rowell et al system discloses the claimed limitation wherein comprising the step of adding the resource to the list associated with the subscriber if the subscriber inputs the correct personal identification number in Column 7, lines 10-22.

As per claims 6, 13, 21, and 28, the modified Rosenthal et al. and Rowell et al system discloses the claimed limitation wherein the resource is a telephone connection to a destination phone number in Column 6, lines 5-9.

As per claims 9 and 24, the modified Rosenthal et al. and Rowell et al system discloses a method aid apparatus for providing access to resources with the use of personal information numbers comprising the steps of maintaining a list of resources accessed by a user, allowing the user to access resource: included in the list, requiring the user to enter a personal identification number to access a further resource not included in the list and adding the further resource that the user accesses using the personal identification number to the list in Column 7, lines 34-67, Column 8, lines 1-67, Column 9, lines 1-5 see also column 9 lines 40-65 where a "P" will never be removed and therefore always on the list so will never require the PIN.

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As per claims 31, 34, 37, and 40, the modified Rosenthal et al. and Rowell et al system discloses the always allow list comprises a phone number associated with emergency services (see Rowell page 3 lines 3-11).

6. Claims 4, 11, 19, and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over the modified Rosenthal et al. and Rowell et al system as applied to claims 1, 9, 16 and 24 above, and further in view of Gaukel et al (US 5200995).

As per claims 4, 11, 19 and 26 the modified Rosenthal et al. and Rowell et al system fails to disclose the use of an always deny list.

However, Gaukel et al teaches the use of an always deny list (see column 4 lines 8-27).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use Gaukel et al's always deny list with the resource restricting method of the modified Rosenthal et al. and Rowell et al system.

Motivation to do so would have been to restrict partial numbers (see column 4 lines 8-27).

7. Claims 5, 12, 20, 27, 33, 36, 39, and 42 are rejected under 35 U.S.C. 103(a) as being unpatentable over the modified Rosenthal et al. and Rowell et al system as applied to claims 1,

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9, 16, and 24 above, and further in view of Mijares Jr. et al (US 6330311).

As per claims 5, 12, 20, 27, 33, 36, 39, and 42, the modified Rosenthal et al. and Rowell et al system fails to disclose an always require PIN list for numbers associated with 900 or international calls.

However Mijares Jr. et al teaches such a list (see column 9 lines 10-43).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use Mijares Jr. et al's always require PIN list for 900 or international calls in the call restricting method of the modified Rosenthal et al. and Rowell et al system.

Motivation to do so would have been to allow a user to call the blocked 900 or international numbers (see Mijares Jr. et al column 9 lines 37-43).

8. Claims 32, 35, 38, and 41 are rejected under 35 U.S.C. 103(a) as being unpatentable over the modified Rosenthal et al, Rowell et al and Gaukel et al system as applied to claims 4, 11, 19, and 26 above, and further in view of Rudokas et al (US 5420910).

As per claims 32, 35, 38 and 41, the modified Rosenthal et al, Rowell et al and Gaukel et al system fails to disclose the

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always deny list comprises a phone number associated with fraudulent use.

However, Rudokas et al teaches such a list of fraudulent numbers (see column 5 line 59 through column 6 line 14).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to use Rudokas et al's method of preventing fraudulent numbers from being called in the call restricting service of the modified Rosenthal et al, Rowell et al and Gaukel et al system.

Motivation to do so would have been prevent cloned identification systems from making calls to fraudulent numbers (see Rudokas et al column 5 line 59 through column 6 line 14).

### ***Response to Arguments***

9. Applicant's arguments with respect to claims 1, 9, 16 and 24 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Pyzocha whose telephone number is (571) 272-3875. The examiner



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can normally be reached on 7:00am - 4:30pm first Fridays of the bi-week off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on (571) 272-3865. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MJP

  
EMMANUEL L. MOISE  
SUPERVISORY PATENT EXAMINER